Analysing data from pooled genetic sequencing screens using edgeR

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1 Introduction

This document is intended to provide a how to guide for the analysis of pooled genetic sequencing screen data using the *edgeR* package. Refer to the main article (Dai *et al.* 2014) for a summary of this analysis pipeline, and be sure to cite this article if you make use of the workflow we describe in your own research.

Pooled genetic sequencing screens employ either RNA interference using short hairpin RNAs (shRNAs) or genetic mutation using single guide RNAs (sgRNAs) with the CRISPR-Cas9 system to perturn gene function. This approach has been successfully employed by a number of groups (Zuber et al. 2011, Sullivan et al. 2012, Bassik et al. 2013, Shalem et al. 2014 and Wang et al. 2014). Depending on the biological question of interest, typically two or more cell populations are compared either in the presence or absence of a selective pressure, or as a time course before and after a selective pressure is applied. Gain of shRNA/sgRNA representation within a pool suggests that perturbing gene function confers some sort of advantage to a cell. Similarly, genes whose loss of function is disadvantageous may be identified through loss of shRNA/sgRNA representation. Screening requires a library of shRNA/sgRNA constructs in a lentiviral or retroviral vector backbone that is used to generate a pool of virus for transducing cells of interest. The relative abundance of these shRNAs/sgRNAs in transduced cells is then quantified by PCR amplification of proviral integrants from genomic DNA using primers designed to amplify all shRNA/sgRNA cassettes equally, followed by second-generation amplicon sequencing. Sample-specific primer indexing allows many different conditions to be analysed in parallel.

In this vignette, a variety of different screens are analysed, ranging in both size (from tens to more than a thousand shRNAs/sgRNAs) and complexity (from the simplest two group comparison through to a time-course design). In every case, loss and/or gain of shRNA/sgRNA representation between different experimental groups is of interest.

The data sets used in this vignette can be downloaded from http://bioinf.wehi.edu.au/shRNAseq/. Users must have the latest version of R and edgeR ($\geq 3.5.23$) installed in order to run the code that follows. The following commands can be run at the R prompt to install edgeR:

```
\label{eq:continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous_continuous
```

2 Analysis of a small shRNA-seq screen

In our first case study, we begin with raw sequence data available from the fastq file *screen1.fastq*. The structure of each sequence in this fastq file is known in advance, and depends upon the PCR primers used (Figure 1).

In this sequencing run, 4 independent experiments, each with biological replicate samples at Day 2 and Day 14 were available. The hairpins used in each experiment came from 4 different plates (plates 247-0001, 247-0003, 247-0005 and 247-0006 were included in this run). Sequencing was carried out on an Illumina HiSeq 2000 machine. Information about samples and hairpins are available in tab delimited text files named Samples1.txt and Hairpins1.txt respectively. Note that the sample and hairpin specific files must have a particular format with at least two columns (named 'ID' and 'Sequences') containing the sample or hairpin ids (which must be unique) and the sample index or hairpin DNA sequences (these must be of

uniform length and also be unique) to be matched. The sample index file may also contain a 'group' column that indicates which experimental group a sample belongs to. Additional columns in each file will also be retained in the final R object that summarises the data from these files. In this example, the annotation information has been anonymised as this screen is unpublished. These files, along with the fastq file are assumed to be in the current working directory.

Structure of shRNA-seq amplicons Base position in sequence read Millions of short sequences with known format available in fastq file sample index hairpin sequence

Figure 1: Typical sequence format in a shRNA-seq screen

The base positions of the sample index and hairpin sequence may vary slightly between screens depending upon the PCR strategy. These parameters can be adjusted in the processAmplicons function.

The function processAmplicons can be used to deconvolve the sequences in the fastq file into a matrix of counts summarising the number of times each hairpin was observed in each sample. To obtain more information about this sequence processing function, type the following:

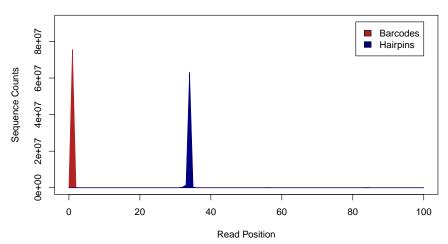
?processAmplicons

We use this function to process the raw sequence data from this screen in the following commands.

```
library(edgeR)
# Read in sample & hairpin information
sampleanno = \frac{read.table}{samples1.txt''}, sep = \frac{"}{t''}, header = \frac{TRUE}{samples1.txt''}
sampleanno[1:5,]
## ID Sequences
                    group Experiment Replicate
## 1 1
           AAAAA TF1 Day2
                                   TF1
## 2 8
           AAACT TF1 Day14
                                   TF1
## 3 11
                                             2
           AAAGG TF1 Day2
                                   TF1
                                             2
           AACAT TF1 Day14
                                   TF1
## 4 20
           AACCG TF1 Day2
                                   TF1
                                             3
## 5 23
hairpinsegs = read.table("Hairpins1.txt", sep = "\t", header = TRUE)
hairpinseqs[1:5,]
##
                   Sequences
                             Plate
## 1 Hairpin1 CTCAGGACTTTGCAGCCAT 247-0001
## 2 Hairpin2 CAGTGATGCTCAAACAGAA 247-0001
## 3 Hairpin3 GCCTTGAGATACATGCCAA 247-0001
## 4 Hairpin4 CAATTCTCTGCTTAATCAT 247-0001
## 5 Hairpin5 CATGGCTACAGCTATAGGA 247-0001
# Process raw sequences from fastq file
```

```
x = \frac{\text{processAmplicons}}{\text{moreons}} "screen1.fastq", barcodefile = "Samples1.txt", hairpinfile = "Hair-
pins1.txt",
   verbose = TRUE, plotPositions = TRUE)
\#\# -- Number of Barcodes : 25
\#\# -- Number of Hairpins : 1269
## Processing reads in screen1.fastq.
## -- Processing 10 million reads
## -- Processing 20 million reads
## -- Processing 30 million reads
## -- Processing 40 million reads
## -- Processing 50 million reads
## -- Processing 60 million reads
## -- Processing 70 million reads
## -- Processing 80 million reads
## Number of reads in file screen1.fastq: 76967231
## The input run parameters are:
## -- Barcode in forward read: length 5
## -- Hairpin in forward read: length 19
## -- Mismatch in barcode/hairpin sequences not allowed.
##
## Total number of read is 76967231
## There are 76898853 reads (99.9112 percent) with barcode matches
## There are 65271202 reads (84.8039 percent) with hairpin matches
## There are 65243799 reads (84.7683 percent) with both barcode and hairpin matches
```

Barcode & Hairpin Position

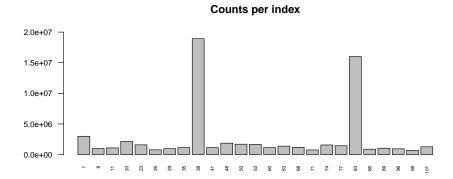


Running the above code takes around 6 minutes and uses 800Mb of RAM. Note that a very high proportion (> 80%) of the reads match to expected combinations from our screen, which is an indication that the sequencing for this screen has gone well. Percentages that are very low, or quite different between the barcode and hairpin values (the hairpin % would generally be lower than the barcode % due to sequencing errors) may indicate problems with the experiment.

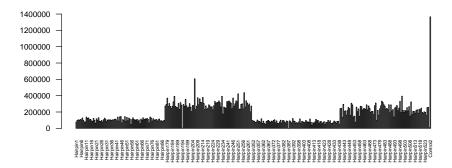
The optional plotPositions argument creates a density plot of the read indexes each barcode and hairpin sequence are found in. This plot is useful as a sanity check in order to determine if processAmplicons is finding the expected sequences.

The counts are stored in a DGEList object. We next filter out hairpins with low counts (hairpins with at least 0.5 counts per million in at least 3 samples were retained) and plot the overall number of reads per sample and hairpin in a barplot. Counts per million are used as these values are standardised for systematic differences in the amount of sequencing between different samples, which can be subtantial (see first barplot below).

```
## An object of class "DGEList"
## $counts
##
                          20
                               23 26 29 35 38 41 48 50 53 60 63 68 71 74 77 83 86
                    11
\#\# Hairpin1 25452 5432 9783 12071 17425 6333 1 6 3 0 0 0 970 21 10 4 17 0 0 23 4
\#\# Hairpin 2 36705 8329 11954 14240 19047 8269 0 1 7 3 1 1 0 0 20 10 5 21 0 0 24 2
\#\# Hairpin 3 35 364 1000 3 118 94 18 645 2004 7 84 19 1 12 6 1 0 0 110 34 20 3 26 2 1 21 0
\#\# Hairpin4 29074 9311 12246 20544 16853 9570 1 12 6 1 0 0 0 23 17 2 26 0 0 22 1
\#\# Hairpin5 34998 10562 12071 22317 20447 9099 0 17 4 0 1 1 0 34 21 5 23 1 0 31 2
          89 96 98 101
## Hairpin1 0 0 0 0
## Hairpin2 0 0 0 0
## Hairpin3 0 1 0 0
## Hairpin4 0 0 0 0
## Hairpin5 0 0 0 0
\#\# 1264 more rows ...
##
\#\# $samples
## ID lib.size norm.factors
                               group Experiment Replicate
## 1 1 2987408
                        1 TF1 Day2
                                           TF1
                                                      1
                        1 TF1 Day14
                                            TF1
## 2 8 989929
                                                      1
                         1 TF1_Day2
                                                      2
## 3 11 1085070
                                            TF1
                         1 TF1 Day14
                                            TF1
                                                      2
## 4 20 2136955
## 5 23 1582454
                         1 TF1 Day2
                                            TF1
                                                      3
\#\# 20 more rows ...
##
## $genes
##
               ID
                         Sequences
                                   Plate
## Hairpin1 Hairpin1 CTCAGGACTTTGCAGCCAT 247-0001
## Hairpin2 Hairpin2 CAGTGATGCTCAAACAGAA 247-0001
## Hairpin3 Hairpin3 GCCTTGAGATACATGCCAA 247-0001
## Hairpin4 Hairpin4 CAATTCTCTGCTTAATCAT 247-0001
## Hairpin5 Hairpin5 CATGGCTACAGCTATAGGA 247-0001
\#\# 1264 more rows ...
# Filter hairpins with low counts
sel = rowSums(cpm(x$counts) > 0.5) >= 3
x = x[sel, ]
# Plot number of hairpins that could be matched per sample
\operatorname{par}(\operatorname{mfrow} = \operatorname{c}(2, 1))
barplot(colSums(x$counts), las = 2, main = "Counts per index", cex.names = 0.5, cex.axis = 0.8,
```



Counts per hairpin

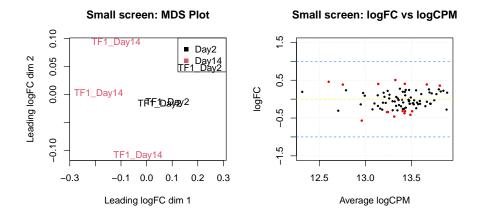


The number of sequences that could be assigned to the different samples and hairpins represented in this set of experiments can be seen to vary substantially. For example, two samples receive many more matches than the others (top barplot). Implicit in any downstream analysis carried out in *edgeR* is an adjustment to account for differences in library size, which is quite important when the overall amount of sequencing can vary considerably between samples. The botttom barplot shows that one particular hairpin appears to be much more abundant than the others. This happens to be a control, which is included in every plate, so is expected to be around 4 times higher than the others.

We next subset the DGEList object to hairpins and samples from the first experiment involving plate 1 (247-0001)/experiment TF1. A multidimensional scaling plot is generated to assess the consistency between replicate samples. The hairpin-specific variation is then estimated using the replicate samples from each group (Day 2 and Day 14). This simple experimental set-up leads us to use edgeR's classic extact testing methodology (Robinson and Smyth, 2008) via the exactTest function to assess differences between the Day 14 and Day 2 replicate samples. The top ranked hairpins are listed using the topTags function, and those with a false discovery rate (FDR) < 0.05 (Benjamini and Hochberg, 1995) are highlighted on a plot of log-fold-change versus log-counts-per-millions by the plotSmear function.

```
# Select hairpins and samples relevant to plate 1
seltf1r = plateinfo == "247-0001"
seltf1c = x$samples$Experiment == "TF1"
# Subset DGEList
x1 = x[seltf1r, seltf1c]
x1\$samples\$group = \frac{factor(rep(c("TF1 Day2", "TF1 Day14"), times = 3))}{}
# Make an MDS plot to visualise relationships between replicate samples
\operatorname{par}(\operatorname{mfrow} = \operatorname{c}(1, 2))
plot MDS (x1, labels = x1 $samples $group, col = rep(1:2, times = 3), main = "Small screen: MDS Plot")
\frac{1}{\text{legend}}(\text{"topright"}, \text{ legend} = \text{c}(\text{"Day2"}, \text{"Day14"}), \text{ col} = 1.2, \text{ pch} = 15)
# Begin differential representation analysis Estimate dispersions
x1 = estimateDisp(x1)
## Design matrix not provided. Switch to the classic mode.
sqrt(x1$common.dispersion)
## [1] 0.103
# Assess differential representation between Day 14 and Day 2 samples using classic ex-
# testing methodology in edgeR
de.14vs2 = exactTest(x1, pair = c("TF1 Day2", "TF1 Day14"))
# Show top ranked hairpins
topTags(de.14vs2)
## Comparison of groups: TF1 Day14-TF1 Day2
##
                            Sequences Plate logFC logCPM PValue
## Hairpin1 Hairpin1 CTCAGGACTTTGCAGCCAT 247-0001 -0.567
                                                                          13.0 1.42e-
05 0.00111
## Hairpin88 Hairpin88 CTGTGGTGCTTATTATTTA 247-0001 0.506 13.3 2.52e-
05 0.00111
## Hairpin2 Hairpin2 CAGTGATGCTCAAACAGAA 247-0001 -0.460
                                                                          13.3 1.30e-
04 0.00383
## Hairpin15 Hairpin15 CCAGCCCAATCACTGTGTA 247-0001 -0.416
                                                                         13.5 4.72e-
04 0.01038
## Hairpin29 Hairpin29 CTATATTCCTTGTGTAATT 247-0001 0.388
04 0.01038
## Hairpin37 Hairpin37 CCTTGAAATGTAAATAACT 247-0001 0.404 13.4 7.08e-
04 0.01038
```

```
## Hairpin64 Hairpin64 GCCTTTGTATATCTGTA 247-0001 0.457
                                                                    12.6 8.96e-
04 \ 0.01127
## Hairpin86 Hairpin86 CTTAGAAAGGCACCTAGAA 247-0001 0.401 13.1 1.39e-
## Hairpin11 Hairpin11 CAAAGGAATGTATATACTA 247-0001 0.358
                                                                    13.8 1.44e-
03 0.01409
## Hairpin28 Hairpin28 GAACTCCAGACAGAACCAA 247-0001 -0.374 13.4 1.72e-
03\ 0.01516
# Select hairpins with FDR < 0.05 to highlight on plot
thresh = 0.05
top2 = topTags(de.14vs2, n = Inf)
top2ids = top2$table[top2$table$FDR < thresh, 1]
# Plot logFC versus logCPM
ylim = c(-1.5, 1.5)
plotSmear(de.14vs2, de.tags = top2ids, pch = 20, cex = 0.6, ylim = ylim, main = "Small screen: logFC vs logCPM")
abline(h = c(-1, 0, 1), col = c("dodgerblue", "yellow", "dodgerblue"), lty = 2)
```



Looking at the MDS plot we see that the replicate samples cluster reasonably well in dimension 1 (Day 14 samples tend to be on the left and Day 2 samples on the right of the plot).

Summary: In this small screen, the variation between replicates samples is quite small (biological coefficient of variation $\sim 10\%$) which means we are able to detect a number of hairpins with subtle fold-change and a small FDR.

3 Analysis of a second small shRNA-seq screen

In the next screen, there are biological replicates of 4 different experimental groups (Day2, Day10, Day5 GFP- and Day5 GFP+). Below we read in the raw counts from the file *screen2.fastq*. We search for all barcodes and hairpins listed in the files *Samples2.txt* and *Hairpins2.txt* respectively. This unpublished data set has been anonymised.

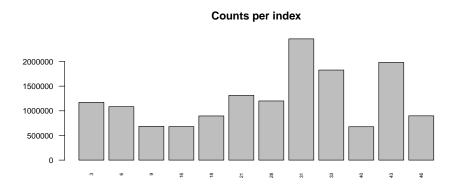
Since we have more than 2 groups, we perform a generalized linear model analysis in edgeR (McCarthy *et al.* 2012) on this data set. We once again use the processAmplicons function to process the raw sequence data from this screen.

```
# Read in sample & hairpin information
sampleanno = \frac{read.table}{samples2.txt}, header = \frac{TRUE}{sep} = \frac{"}{t}
sampleanno
##
      ID Sequences
                      group Replicate
## 1 3
           GAAAG
                        Day2
## 2 6
           GAACC
                       Day10
                                   1
##39
           GAAGA Day5GFPneg
                                      1
## 4 16
           GAATT Day5GFPpos
                                      1
                                   2
## 5 18
           GACAC
                        Day2
## 6 21
           GACCA
                                   2
                       Day10
## 7 28
           GACGT Day5GFPneg
                                      2
## 8 31
            GACTG Day5GFPpos
                                      2
## 9 33
            GAGAA
                        Day2
                                   3
            GAGCT
                                   3
## 10 40
                        Day10
## 11 43
            GAGGG Day5GFPneg
                                      3
            GAGTC Day5GFPpos
## 12 46
                                      3
hairpinseqs = read.table("Hairpins2.txt", header = TRUE, sep = "\t")
hairpinseqs[1:5,]
         ID
                    Sequences Gene
## 1 Control1 TCTCGCTTGGGCGAGAGTAAG
                                                2
## 2 Control2 CCGCCTGAAGTCTCTGATTAA
## 3 Control3 AGGAATTATAATGCTTATCTA
## 4 Hairpin1 AAGGCAGAGACTGACCACCTA
## 5 Hairpin2 GAGCGACCTGGTGTTACTCTA
# Process raw sequences from fastq file
x2 = processAmplicons("screen2.fastq", barcodefile = "Samples2.txt", hairpinfile = "Hair-
pins2.txt",
  verbose = TRUE
## -- Number of Barcodes: 12
## -- Number of Hairpins: 137
## Processing reads in screen2.fastq.
## -- Processing 10 million reads
## -- Processing 20 million reads
\#\# -- Processing 30 million reads
## -- Processing 40 million reads
## Number of reads in file screen2.fastq: 38293297
## The input run parameters are:
\#\# -- Barcode: length 5
## -- Hairpin: length 21
## -- Mismatch in barcode/hairpin sequences not allowed.
##
## Total number of read is 38293297
## There are 38116328 reads (99.5379 percent) with barcode matches
## There are 14872258 reads (38.8378 percent) with hairpin matches
## There are 14871955 reads (38.8370 percent) with both barcode and hairpin matches
```

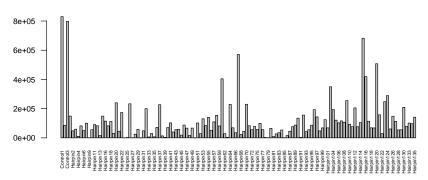
Running the above code takes around 2 minutes and uses 600Mb of RAM. In this screen, although we have a high proportion of sample indexes matching (>99%), only a fairly low proportion of reads ($\sim38\%$) have a hairpin match, indicating that there is likely to be an issue with contamination in this screen.

In spite of this, we continue our analysis to look for hairpins that are relatively more or less abundant in a comparison of the Day5 GFP+ versus the Day5 GFP- replicate samples. We filter out hairpins with low counts (hairpins with at least 0.5 counts per million in at least 3 samples were retained) and plot the overall number of reads per sample or per hairpin in barplots.

```
x2
## An object of class "DGEList"
## $counts
                  6
                      9
                           16
                               18
                                     21
                                           28
                                                31
                                                     33
                                                          40
                                                                43
                                                                     46
\#\# \text{ Control } 1\ 22647\ 26316\ 36885\ 290731\ 35158\ 49298\ 10611\ 99557\ 51758\ 36068\ 103077\ 67752
## Control2 5664 4623 7381 5010 4937 4163 18821 14113 7578 4952 5541 2883
\#\#\operatorname{Control3} 16426\ 33270\ 36925\ 53701\ 11526\ 37385\ 457414\ 48190\ 25650\ 19969\ \ 37524\ 19142
## Hairpin1 22359 7597 6230 3773 14096 10251 7451 20798 26898 3697 16464 9829
## Hairpin2 9593 4515 1563 918 4658 3593 2865 4928 6369 497 7384 1024
\#\# 132 more rows ...
##
## $samples
## ID lib.size norm.factors
                                group Replicate
## 1 3 1171539
                         1
                               Day2
## 2 6 1084243
                         1
                               Day10
## 3 9 685508
                         1 Day5GFPneg
                                              1
## 4 16 680275
                         1 Day5GFPpos
                                           2
## 5 18 895803
                               Day2
\#\# 7 more rows ...
##
\#\# $genes
               ID
##
                           Sequences Gene
## Control1 Control1 TCTCGCTTGGGCGAGAGTAAG
## Control2 CCGCCTGAAGTCTCTGATTAA
## Control3 Control3 AGGAATTATAATGCTTATCTA
## Hairpin1 Hairpin1 AAGGCAGAGACTGACCACCTA
## Hairpin2 Hairpin2 GAGCGACCTGGTGTTACTCTA
\#\# 132 more rows ...
# Filter hairpins with low counts
sel = rowSums(cpm(x2\$counts)>0.5)>=3
x2 = x2[sel,]
# Plot number of hairpins that could be matched per sample
# and total for each hairpin across all samples
\operatorname{par}(\operatorname{mfrow} = \operatorname{c}(2,1))
barplot(colSums(x2$counts), las=2, main="Counts per index", cex.names=0.5, cex.axis=0.8)
barplot(rowSums(x2$counts), las=2, main="Counts per hairpin", cex.names=0.5, cex.axis=0.8)
```



Counts per hairpin



Next we make a multidimensional scaling plot to assess the consistency between replicate samples. A design matrix is set up for the GLM analysis, and the hairpin-specific variation is estimated and plotted (while taking into account the group structure).

We use the function <code>glmFit</code> to fit the hairpin-specific models and <code>glmLRT</code> to do the testing between the Day 5 GFP+ and Day 5 GFP- samples. The top ranked hairpins are listed using the <code>topTags</code> function and hairpins with FDR < 0.05 (Benjamini and Hochberg, 1995) are highlighted on a plot of log-fold-change versus log-counts-per-millions by the <code>plotSmear</code> function.

```
# Make an MDS plot to visualise relationships between replicate samples

par(mfrow = c(1, 3))

plotMDS(x2, labels = x2$samples$group, col = rep(1:4, times = 3), main = "Another small screen: MDS Plot")

legend("topright", legend = c("Day2", "Day10", "Day5-", "Day5+"), col = 1:4, pch = 15)

# Begin differential representation analysis We will use GLMs in edgeR in this case since

# there are more than 2 groups Set up design matrix for GLM

des = model.matrix(~x2$samples$group)

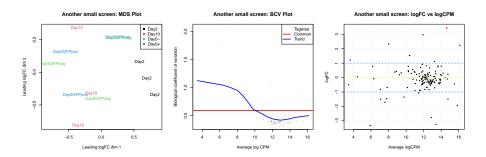
des

## (Intercept) x2$samples$groupDay2 x2$samples$groupDay5GFPneg x2$samples$groupDay5GFPpos

## 1 1 0 0
```

```
1
                           0
                                              0
                                                                0
## 3
             1
                           0
                                                                0
                                              1
## 4
             1
                           0
                                              0
                                                                1
## 5
             1
                           1
                                              0
                                                                0
## 6
                           0
                                              0
                                                                0
             1
## 7
             1
                           0
                                              1
                                                                0
## 8
                           0
             1
                                              0
                                                                1
## 9
             1
                           1
                                              0
                                                                0
## 10
             1
                           0
                                              0
                                                                0
## 11
             1
                           0
                                                                0
                                              1
                           0
                                              0
## 12
             1
## attr(,"assign")
## [1] 0 1 1 1
## attr(,"contrasts")
## attr(,"contrasts")$'x2$samples$group'
## [1] "contr.treatment"
# Estimate dispersions
xglm = estimateDisp(x2, des)
sqrt(xglm$common.disp)
## [1] 0.593
# Plot BCVs versus abundance
plotBCV(xglm, main = "Another small screen: BCV Plot")
# Fit negative bionomial GLM
fit = glmFit(xglm, des)
# Carry out Likelihood ratio test
lrt = glmLRT(fit, contrast = c(0, 0, -1, 1))
# Show top ranked hairpins
topTags(lrt)
## Coefficient: -1*x2$samples$groupDay5GFPneg 1*x2$samples$groupDay5GFPpos
                          Sequences Gene logFC logCPM LR PValue
\#\# Hairpin67 AAAAGCAGTTCTCAAGATCTA 32 3.43 14.64 23.08 1.55e-
06 0.000204
## Hairpin92 Hairpin92 AAGAGGATGAAGACCTGCTTA 38 -3.26 13.42 11.03 8.97e-
04 0.058749
\#\# Hairpin57 Hairpin57 CTGATTGTTGACAGTGTCAAA 26-2.77 12.89 8.25 4.07e-
03\ 0.177527
## Control1 Control1 TCTCGCTTGGGCGAGAGTAAG 2 2.24 16.13 7.73 5.44e-
03 0.178272
## Control3 Control3 AGGAATTATAATGCTTATCTA 2-1.92 15.84 5.47 1.94e-
02\ 0.474612
## Hairpin42 Hairpin42 CTGGTATGTCTTGGAGAGATA 20-1.06 11.44 5.27 2.17e-
02\ 0.474612
## Hairpin39 Hairpin39 TAGCATGGATATGGAGTTAAA 19 2.33 8.03 4.85 2.77e-
02\ 0.517038
\#\# Hairpin
54 AGGGTGTCTATTTGTCTTCAA 24 2.97 11.93 4.62 3.16e-
02 0.517038
```

```
## Hairpin97 Hairpin97 CCGCACTTACTCCAAGTTCAA 5 1.11 13.24 4.11 4.28e-02 0.622324 ## Hairpin49 Hairpin49 AAGAGGAAGGCAAGTTTA 20 -0.83 12.14 3.63 5.67e-02 0.742544 # Select hairpins with FDR < 0.05 to highlight on plot thresh = 0.05 top2 = topTags(lrt, n = Inf) top2ids = top2$table[top2$table$FDR < thresh, 1] # Plot logFC versus logCPM plotSmear(lrt, de.tags = top2ids, pch = 20, cex = 0.6, main = "Another small screen: logFC vs logCPM") abline(h = c(-1, 0, 1), col = c("dodgerblue", "yellow", "dodgerblue"), lty = 2)
```



The biological coefficent of variation (BCV) plot (middle panel) summarises the variability in the screen as a functor of hairpin abundance. These plots tend to have a characteristic shape of decreasing variability as hairpin abundance increases, which is similar to what is observed for other applications such as RNA-seq. The individual black points show hairpin-specific (referred to as 'Tagwise' variability, while the blue line shows the trend value as hairpin abundance changes ('Trended') and the red line is the common value (calculated by assuming all counts come from the same hairpin).

Summary: In this second small screen, the variation between replicate samples is much higher than in the first one (biological coefficient of variation \sim 62%) which limits our ability to detect any subtle changes. As a result we find only one hairpin with a FDR < 0.05 and a log-fold-change of 3.57.

4 Analysis of a larger shRNA-seq screen

In the third example, a library of around 1,100 hairpins were screened in a time-course experiment, where samples were collected over a period of 8 days. Multiple hairpins per gene (generally between 3-6) were included in this collection. Below we read in the raw sequences from the file <code>screen3.fastq</code> and search for matches with sample indexes and hairpins listed in the files <code>Samples3.txt</code> and <code>Hairpins3.txt</code> respectively using the <code>processAmplicons</code> function to give us a <code>DGEList</code> of counts. This unpublished data set has been anonymised.

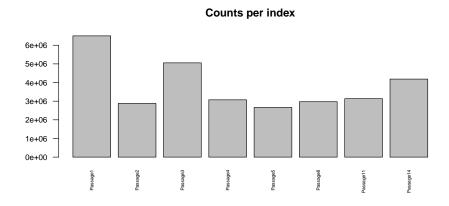
```
# Read in sample & hairpin information sampleanno = read.table("Samples3.txt", header = TRUE, sep = "\t") sampleanno ## ID Sequences
```

```
AGCAC
## 1 Passage1
## 2 Passage2
                   AGCGT
## 3 Passage3
                  AGGAA
## 4 Passage4
                   AGGGG
##5 Passage5
                   AGTAT
## 6 Passage8
                   AGTGC
## 7 Passage11
                   ATACA
## 8 Passage14
                   ATATG
hairpinsegs = \frac{\text{read.table}}{\text{read.table}} ("Hairpins3.txt", header = \frac{\text{TRUE}}{\text{t}}, sep = "\t")
hairpinseqs[1:5,]
                     Sequences Gene
##
## 1 Hairpin1 CAGGTACAAAGATGGTTGCGA
## 2 Hairpin2 CTGGTCTTACCCTGACACCAA
## 3 Hairpin3 AAGCCCTGGGTTCCTGTTCTA
## 4 Hairpin4 GAGCACAGAGATGACGAGCGA
## 5 Hairpin5 TTCCGAGAGTTGGAGCAAGAA
# Process raw sequences from fastq file
x3 = process Amplicons ("screen 3. fastq", barcodefile = "Samples 3. txt", hairpinfile = "Hair-
pins3.txt",
  verbose = TRUE
## -- Number of Barcodes: 8
\#\# -- Number of Hairpins: 1153
## Processing reads in screen3.fastq.
## -- Processing 10 million reads
## -- Processing 20 million reads
## -- Processing 30 million reads
## -- Processing 40 million reads
## -- Processing 50 million reads
## -- Processing 60 million reads
## -- Processing 70 million reads
## -- Processing 80 million reads
## -- Processing 90 million reads
## -- Processing 100 million reads
## -- Processing 110 million reads
## -- Processing 120 million reads
## -- Processing 130 million reads
## -- Processing 140 million reads
## Number of reads in file screen3.fastq: 130090268
##
\#\# The input run parameters are:
## -- Barcode: length 5
\#\# -- Hairpin: length 21
## -- Mismatch in barcode/hairpin sequences not allowed.
##
## Total number of read is 130090268
## There are 99766841 reads (76.6905 percent) with barcode matches
## There are 30956029 reads (23.7958 percent) with hairpin matches
## There are 30471462 reads (23.4233 percent) with both barcode and hairpin matches
```

Running the above code takes around 6 minutes and uses 1G of RAM. Although the proportion of sequences that match is low (\sim 23% to the hairpin sequences and \sim 23% with both an index and a hairpin match), this was expected, as only around 40% of the sequencing run was dedicated to this screen. The remaining data relates to another project.

As before, we filter out hairpins with low counts (hairpins with at least 0.5 counts per million in at least half of the samples were retained) and plot the overall number of reads per sample or per hairpin in barplots.

```
x3
## An object of class "DGEList"
## $counts
##
          Passage1 Passage2 Passage3 Passage4 Passage5 Passage8 Passage11 Passage14
## Hairpin1
                                   1477
                                                     508
                                                                      1932
                                                                                2005
                  9544
                          3271
                                            547
                                                             1717
## Hairpin2
                  8615
                          3550
                                   1456
                                            1504
                                                     1680
                                                             1323
                                                                       2858
                                                                                2376
## Hairpin3
                  7306
                           991
                                   1166
                                            383
                                                     607
                                                             103
                                                                      658
                                                                               177
## Hairpin4
                                   3009
                  8763
                          1169
                                            2434
                                                     2015
                                                             1373
                                                                       5312
                                                                                11285
## Hairpin5
                  7913
                          3117
                                   4668
                                            1949
                                                     1642
                                                             2482
                                                                       2062
                                                                                2810
\#\# 1148 more rows ...
##
## $samples
                  ID group lib.size norm.factors
##
## Passage1
                Passage1
                             1 6506764
## Passage2
                Passage2
                             1 2879384
                                                  1
## Passage3
                Passage3
                             1 5056008
                                                  1
## Passage4
                Passage4
                             1 3073676
## Passage5
                Passage5
                             1 2664513
                                                  1
## Passage8 Passage8
                             1 2971301
                                                  1
## Passage11 Passage11
                                                   1
                              1 3134600
## Passage14 Passage14
                              1 4185216
                                                   1
##
\#\# $genes
                 ID
                              Sequences Gene
##
## Hairpin1 Hairpin1 CAGGTACAAAGATGGTTGCGA
## Hairpin2 Hairpin2 CTGGTCTTACCCTGACACCAA
## Hairpin3 Hairpin3 AAGCCCTGGGTTCCTGTTCTA
## Hairpin4 Hairpin4 GAGCACAGAGATGACGAGCGA
## Hairpin5 Hairpin5 TTCCGAGAGTTGGAGCAAGAA
## 1148 more rows ...
# Filter hairpins with low counts
sel = rowSums(cpm(x3\$counts) > 0.5) >= 4
x3 = x3[sel, ]
# Plot number of hairpins that could be matched per sample and total for each hairpin
# across all samples
\operatorname{par}(\operatorname{mfrow} = \operatorname{c}(2, 1))
\frac{\text{barplot}(\text{colSums}(\text{x3$counts}), \text{las} = 2, \text{main} = \text{"Counts per index"}, \text{cex.names} = 0.5, \text{cex.axis} = 0.8)}{1}
\frac{\text{barplot}(\text{rowSums}(x3\$\text{counts}), \text{las} = 2, \text{main} = "\text{Counts} \text{ per hairpin}", \text{cex.names} = 0.5, \text{cex.axis} = 0.8)}{\text{barplot}(x)}
```



Counts per hairpin

We normalize the counts using the TMM method (Robinson and Oshlack, 2010) and make a multidimensional scaling plot as before. The design matrix for this experiment consists of a model with a slope and intercept. Hairpins with an increasing or decreasing trend over time are of interest. The hairpin-specific dispersion is estimated and plotted. We use the function $\underline{\text{glm}Fit}$ to fit hairpin-specific models and $\underline{\text{glm}LRT}$ to test whether the slope is different to zero.

The top ranked hairpins are listed using the topTags function and hairpins with FDR < 0.05 (Benjamini and Hochberg, 1995) are highlighted on a plot of log-fold-change versus log-counts-per-millions by the plotSmear function.

```
# Carry out normalization using TMM

x3 = calcNormFactors(x3, method = "TMM")

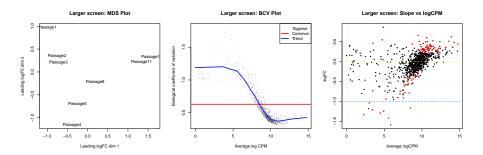
# Make an MDS plot to visualise relationships between replicate samples
par(mfrow = c(1, 3))
plotMDS(x3, main = "Larger screen: MDS Plot")

# Begin differential representation analysis We will use GLMs in edgeR in this case since
# the experimental design is a time course with changes expected over time i.e. model is y
# = intercept + slope*time Set up design matrix for GLM

des = model.matrix(~seq(1:8))
des
```

```
(Intercept) seq(1:8)
## 1
             1
                   1
                   2
## 2
             1
## 3
             1
                   3
## 4
             1
                   4
## 5
             1
                   5
## 6
                   6
             1
## 7
             1
                   7
## 8
             1
                   8
## attr(,"assign")
## [1] 0 1
colnames(des)[2] = "Slope"
# Estimate dispersions
xglm = estimateDisp(x3, des)
sqrt(xglm$common.disp)
## [1] 0.629
# Plot BCVs versus abundance
plotBCV(xglm, main = "Larger screen: BCV Plot")
# Fit negative bionomial GLM
fit = glmFit(xglm, des)
# Carry out Likelihood ratio test
lrt = glmLRT(fit, coef = 2)
# Show top ranked hairpins
topTags(lrt)
## Coefficient: Slope
                            Sequences Gene logFC logCPM LR PValue
## Hairpin648 Hairpin648 AAGAGCTTTGTTAGACAACAA 109 0.598 10.63 62.9 2.22e-
15 2.03e-12
\#\# Hairpin 726 Hairpin 726 AACATTAACAGTGTTGAGATA 121 0.654 9.49 38.3 6.22e-
10\ 2.84e-07
\#\# Hairpin807 Hairpin807 CAGAAATTATGTGACTATATA 133 0.620 13.91 36.8 1.28e-
09 3.91e-07
\#\# Hairpin520 Hairpin520 CAGACTATGAGTCTAGTTTAA 86 0.499 12.39 34.4 4.43e-
09\ 1.01e-06
\#\# Hairpin 79 Hairpin 79 CTCCAGTGTTCTGTTAATATT 17 0.508 13.58 33.7 6.46e-
09 1.18e-06
## Hairpin248 Hairpin248 CAGAACAGAGGTACATTATAA 44\,0.520\,11.47\,29.2\,6.48e-
08 9.86e-06
## Hairpin810 Hairpin810 AAGAAAGTTCTTACAACGAAA 139 0.496 10.71 27.8 1.38e-
07\ 1.80e-05
## Hairpin241 Hairpin241 CTCCGAGACTATCAGAAGATA 43 0.496 10.49 25.9 3.64e-
07 \ 4.12e-05
\#\# Hairpin 336 Hairpin 336 ATCCA ATGTGTTCCTTTAATA 58 0.389 11.54 25.6 4.10e-
07 \ 4.12e-05
\#\# Hairpin 385 Hairpin 385 CTCAAGTGTAGATACAGATTA 65 0.396 11.16 25.5 4.51e-
07 \ 4.12e-05
```

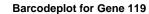
```
 \begin{tabular}{ll} \# \ Select \ hairpins \ with \ FDR < 0.05 \ to \ highlight \ on \ plot \ thresh = 0.05 \ top 3 = top Tags (lrt, \ n = Inf) \ top 3 ids = top 3 table [top 3 table FDR < thresh, 1] \end{tabular}   \begin{tabular}{ll} \# \ Plot \ Slope \ versus \ log CPM \ plot Smear (lrt, \ de. tags = top 3 ids, \ pch = 20, \ cex = 0.6, \ main = "Larger \ screen: \ Slope \ vs \ log CPM") \ abline (h = c(-1, 0, 1), \ col = c("dodgerblue", "yellow", "dodgerblue"), \ lty = 2) \end{tabular}
```

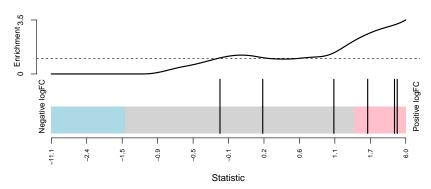


We finish this analysis by summarising data from multiple hairpins in order to get a gene-bygene ranking, rather than a hairpin-specific one. The *roast* gene-set test (Wu *et al.* 2010) is used for this purpose. In the screen setting, the collection of individual hairpins that target a specific gene can be regarded as a 'set'. This analysis relies on the availablity of an annotation that indicates which gene each hairpin targets (this has been recorded in the 'Gene' column of the hairpin annotation in this example). In the code below, we restrict our analysis to genes with greater than 3 hairpins. A barcode plot, highlighting the rank of hairpins for a given gene relative to the entire data set is generated for the top-ranked gene (119). The hairpins for this gene tend to increase in abundance over time, with 2/3 of the hairpins contributing to the test result (FDR=0.0549). Note that a gene-level analysis like this is only possible within the GLM framework.

```
# Carry out roast gene-set analysis
genesymbols = x3 genes[, 3]
genesymbollist = list()
unq = unique(genesymbols)
unq = unq[!is.na(unq)]
for (i in unq) {
   sel = genesymbols == i \& !is.na(genesymbols)
   if (sum(sel) > 3)
      genesymbollist[[i]] = which(sel)
# Run mroast for all genes
set.seed(6012014)
roast.res = \frac{mroast}{xglm}, index = genesymbollist, des, contrast = 2, nrot = 9999)
# Display results for top ranked genes
roast.res[1:20, 1:6]
##
          NGenes PropDown PropUp Direction PValue
```

```
0.500 0.000
                                            Down 0.0002 0.0203
\#\# \operatorname{set} 096
\#\# \text{ set } 119
                  6
                       0.000 0.667
                                             Up 0.0006 0.0371
\#\# \text{ set } 151
                  7
                       0.000 \ 0.571
                                             Up 0.0012 0.0517
\#\# \, \sec 024
                       0.000 \ 0.625
                                             Up 0.0021 0.0551
\#\# set 122
                                            Down 0.0023 0.0551
                  4
                       0.750 \ 0.250
\#\# \, \sec 039
                       0.333 0.000
                                           Down 0.0025 0.0551
                  6
\#\# set 141
                       0.000 1.000
                                             Up 0.0032 0.0565
                  4
                       0.200 \ 0.600
                                             Up 0.0034 0.0565
\#\# \, {\rm set} \, 121
\#\# \, \sec 023
                  8
                       0.500 \ 0.250
                                            Down 0.0042 0.0622
                       0.250 \ 0.500
                                             Up 0.0055 0.0714
\#\# \, \sec 009
                  8
\#\# \, set 007
                       0.000 \ 0.500
                                             Up 0.0063 0.0714
                  8
                       0.375 \quad 0.000
                                           Down 0.0064 0.0714
\#\# \operatorname{set} 143
                  8
\#\# \text{ set } 110
                       0.625 0.125
                                           Down 0.0071 0.0732
                  8
\#\# \, \sec 003
                  8
                       0.000 \ 0.875
                                             Up 0.0085 0.0815
                       0.429 \ 0.000
                                           Down 0.0096 0.0851
\#\# \, set 068
\#\# \, {\rm set} \, 127
                       0.000 \ 0.333
                                             Up 0.0111 0.0851
                  6
\#\# \operatorname{set} 036
                  5
                       0.400 \ 0.000
                                            Down 0.0113 0.0851
\#\# \, {\rm set} \, 019
                  8
                       0.125 \ 0.250
                                             Up 0.0114 0.0851
                                             Up 0.0135 0.0942
\#\# \operatorname{set} 086
                  6
                       0.000 \ 0.333
\#\# \text{ set } 104
                  6
                       0.167 \ 0.500
                                             Up 0.0147 0.0942
# Make a barcode plot for an example that ranks highly Gene 119 - multiply slopes by 7 to
# convert into logFCs over time-course
\operatorname{par}(\operatorname{mfrow} = \operatorname{c}(1, 1))
barcodeplot (7 * lrt$table$logFC, index = genesymbollist[[119]], main = "Barcodeplot for Gene 119",
   labels = c("Negative logFC", "Positive logFC"))
```





5 Analysis of shRNA-seq screen from Zuber *et al.* (2011)

We next look at some published data from Zuber *et al.* (2011). The goal of this screen was to identify new drug targets for acute myeloid leukaemia (AML). A custom library of > 1,000 hairpins targeting 240 genes known to regulate chromatin structure were screened in a mouse model of AML. Between 3 and 6 distinct hairpins per gene were available.

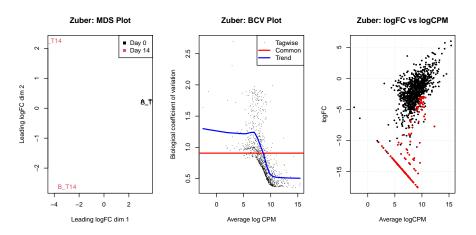
The screen used leukaemia cells from an inducible mouse model and sampled DNA from these cells post infection (Day 0) and at Day 14. Hairpins that consistently decrease in representation across the biological replicate samples were of interest.

Below we take a merged table of counts obtained from the Supplementary Materials of Zuber *et al.* (2011) and analyse it using *edgeR*. We begin with a hairpin-level analysis to rank individual hairpins using GLMs. Diagnostic plots and a list of top hairpins is given.

```
# Read in the table of counts
dat = read.table("zuber screen.txt", sep = "\t", header = TRUE, as.is = TRUE)
dat[1,]
##
       shRN AID GeneSymbol EntrezID Pool shRNA start Mean T14.T0 T14.T0 A T14.T0 B
## 1 100043305.158 100043305 100043305 LIB
                                                       158
                                                                  0.2 \quad 0.269 \quad 0.132
## Reads A T0 Reads A T14 Reads B T0 Reads B T14
                               31158
## 1
          34133
                      9171
                                           4111
# Make DGE list containing hairpin counts
x4 = new("DGEList")
x4$counts = as.matrix(dat[, 9:12])
# Remove hairpins with zero counts in all samples
selnonzero = rowSums(x4\$counts) != 0
x4$counts = x4$counts[selnonzero,]
# Add sample annotation data
x4$samples = data.frame(SampleID = colnames(x4$counts), group = as.factor(rep(c("Day0", "Day14"),
   times = 2)), lib.size = colSums(x4\$counts))
x4$samples$norm.factors = 1
x4$genes = dat[selnonzero, 1:5]
rownames(x4\$counts) = dat[selnonzero, 1]
dim(x4)
## [1] 1095
# Make an MDS plot to visualise relationships between replicate samples
par(mfrow = c(1, 3))
\operatorname{plot}MDS(x4, labels = \operatorname{gsub}("Reads ", "", \operatorname{colnames}(x4)), \operatorname{col} = \operatorname{c}(1, 2, 1, 2), \operatorname{main} = "Zu-
ber: MDS Plot")
legend ("topright", legend = c ("Day 0", "Day 14"), col = 1:2, pch = 15)
# Assess differential representation between Day 14 and Day 0 samples using GLM in edgeR
# Set up design matrix for GLM
des = model.matrix(~x4\$samples\$group)
colnames(des)[2] = "Day14"
des
## (Intercept) Day14
## 1
                   0
              1
## 2
              1
                   1
## 3
              1
                   0
              1
## 4
                   1
## attr(,"assign")
## [1] 0 1
## attr(,"contrasts")
```

```
## attr(,"contrasts")$'x4$samples$group'
## [1] "contr.treatment"
# Estimate dispersions
xglm = estimateDisp(x4, des)
# Plot BCVs versus abundance
plotBCV(xglm, main = "Zuber: BCV Plot")
# Fit negative bionomial GLM
fit = glmFit(xglm, des)
# Carry out Likelihood ratio test
lrt = glmLRT(fit, 2)
# Show top ranked hairpins
topTags(lrt, n = 15)
## Coefficient: Day14
            shRN AID GeneSymbol EntrezID Pool shRNA start logFC logCPM LR
##
## Rpa3.276
                   Rpa3.276
                                 Rpa3
                                        68240 PC
                                                         278 -13.59 9.66 117.1
## Suz12.1842
                  Suz12.1842
                                Suz12
                                         52615 LIB
                                                         1842 -17.54 9.22 102.4
## Setd4.1308
                 \rm Set\,d4.1308
                                Setd4
                                       224440 LIB
                                                        1308 -15.30
                                                                     9.30 96.5
## Pcna.1186
                   Pcna.1186
                                 Pcna
                                        18538 PC
                                                         1186 -17.42 9.10 93.0
## Supt16h.1672 Supt16h.1672
                                 Supt16h 114741 LIB
                                                           1672 -17.13 8.81 72.4
## Setmar.1589
                 Setmar.1589
                                 Setmar
                                          74729 LIB
                                                          1589 6.02 15.35 71.8
## Rpa3.561
                   Rpa3.561
                                 Rpa3
                                        68240 PC
                                                         561 -7.73 12.32 68.4
## Brd3.187
                   \mathrm{Brd}3.187
                                Brd3
                                        67382 LIB
                                                        187 -14.83 8.83 67.9
## Rpa3.455
                   Rpa3.455
                                 Rpa3
                                        68240 PC
                                                         457 -5.76 10.59 62.0
## Brd4.2097
                  Brd4.2097
                                 Brd4
                                        57261 LIB
                                                        2097 -16.75 8.43 57.6
## Polr2b.2176
                 Polr2b.2176
                                Polr2b
                                        231329 PC
                                                         2176 -14.56 8.56 57.5
## Wdr5.1765
                   Wdr5.1765
                                  Wdr5 140858 LIB
                                                          1765 -16.72 8.40 56.7
\#\# \text{ Aof } 2.2857
                  Aof2.2857
                                Aof2
                                       99982 LIB
                                                       2857 - 16.67 8.35 55.8
## Pcmt1.840
                   Pcmt1.840
                                 Pcmt1
                                          18537 LIB
                                                          840 4.79 14.19 54.7
## Jmjd1a.371
                  Jmjd1a.371
                                Jmjd1a 104263 LIB
                                                           371 -16.62 8.29 52.8
##
               PValue
                         FDR
## Rpa3.276
                2.75e-27 3.01e-24
## Suz12.1842
                4.65e-24 2.54e-21
## Setd4.1308
                8.74e-23 3.19e-20
\#\# Pcna.1186
                5.11e-22\ 1.40e-19
## Supt16h.1672 1.77e-17 3.88e-15
## Setmar.1589 2.38e-17 4.34e-15
## Rpa3.561
                1.35e-16 2.11e-14
## Brd3.187
                1.73e-16\ 2.37e-14
## Rpa3.455
                3.51e-15 4.27e-13
## Brd4.2097
                3.15e-14 3.34e-12
## Polr2b.2176 3.35e-14 3.34e-12
\# \# \text{ Wdr} 5.1765
                5.19e-14 4.74e-12
## Aof2.2857
                8.00e-14 6.74e-12
## Pcmt1.840
                1.43e-13 1.12e-11
## Jmjd1a.371 3.71e-13 2.71e-11
\# Select hairpins with FDR < 0.0001 and logFC < -1 to highlight on plot
```

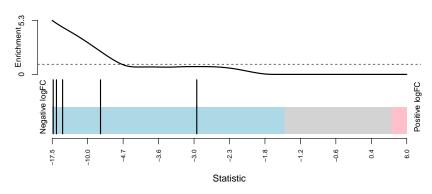
```
thresh = 1e-04 \\ lfc = -1 \\ top = topTags(lrt, n = Inf, sort.by = "logFC") sum(top\$table[, 9] < thresh) \\ \#\# [1] 195 \\ sum(top\$table[, 9] < thresh \& top\$table[, 6] < lfc) \\ \#\# [1] 183 \\ topids = as.character(top\$table[top\$table\$FDR < thresh \& top\$table\$logFC < lfc, 1]) \\ \# Make a plot of logFC versus logCPM \\ plotSmear(lrt, de.tags = topids, pch = 20, cex = 0.6, main = "Zuber: logFC vs logCPM")
```



We finish this analysis by summarising data from multiple hairpins in order to get a gene-by-gene ranking, rather than a hairpin-specific one using the *roast* gene-set test (Wu *et al.* 2010). The gene *Brd4* is examined first (this was reported as a key finding in the original paper) followed by an analysis for all genes. *Brd4* is also highly ranked in our analysis.

```
# Carry out roast gene-set analysis Begin with hairpins targeting Brd4
genesymbols = x4$genes[, 2]
brd4 = genesymbols == "Brd4"
set.seed(6012014)
roast(xglm, index = brd4, des, contrast = 2, nrot = 9999)
           Active.Prop P. Value
## Down
                      1 	 2e-04
## Up
                     0 1e + 00
                         1 4e-04
## UpOrDown
## Mixed
                      1 	ext{ 4e-04}
# Make a barcode plot for Brd4
\operatorname{par}(\operatorname{mfrow} = \operatorname{c}(1, 1))
barcodeplot (lrt$table$logFC, index = brd4, main = "Barcodeplot for Brd4 (Day14 ver-
sus Day0)",
   labels = c("Negative logFC", "Positive logFC"))
```

Barcodeplot for Brd4 (Day14 versus Day0)



```
# Repeat analysis for all genes using mroast
genesymbollist = list()
for (i in unique(genesymbols)) genesymbollist[[i]] = which(genesymbols == i)
roast.res = mroast(xglm, index = genesymbollist, des, contrast = 2, nrot = 9999)
roast.res[1,]
##
       NGenes PropDown PropUp Direction PValue FDR PValue.Mixed FDR.Mixed
               6 - 0.833
                                  Down 1e-04 0.00415
                                                            1e-04 0.00311
# Display results for top ranked genes
roast.res[1:20, 1:6]
##
              NGenes PropDown PropUp Direction PValue
                                                              FDR
## Aurkb
                        0.833
                                0.0
                                        Down 0.0001 0.00415
                    6
## Jhdm1d
                     5
                         0.800
                                        Down 0.0001 0.00415
                                 0.0
\#\# \text{ Cbx2}
                    5
                        0.600
                                0.0
                                       Down\ 0.0001\ 0.00415
## Srcap
                       0.800
                                0.0
                                       Down 0.0002 0.00934
                   5
## Polr2b
                   2
                        1.000
                                0.0
                                       Down 0.0003 0.01012
## Ing2
                   5
                       1.000
                               0.0
                                      Down 0.0004 0.01012
## Setd2
                   5
                       1.000
                                0.0
                                       Down 0.0004 \ 0.01012
                        0.800
                                       Down 0.0004 0.01012
## Hdac11
                    5
                                0.0
## Brd4
                   5
                       1.000
                               0.0
                                       Down 0.0005 0.01012
\#\#\operatorname{Setd}4
                   3
                       1.000
                                0.0
                                       Down 0.0006 \ 0.01012
## LOC100044324
                       5
                           0.800
                                   0.2
                                           Down 0.0006 0.01012
## Nap1l1
                    4
                        0.750
                                0.0
                                       Down 0.0006 0.01012
## Sirt5
                      0.500
                              0.0
                                      Down 0.0006 0.01012
\#\# \operatorname{Prdm}11
                     4
                         1.000
                                 0.0
                                        Down 0.0007 0.01012
## Prmt2
                        0.500
                                0.0
                                       Down 0.0007 0.01012
                    4
\#\# Hells
                   4
                       0.500
                               0.0
                                      Down 0.0007 0.01012
## Hdac9
                        1.000
                                0.0
                                       Down 0.0009 0.01176
                    5
## Mecp2
                        0.750
                                        Down 0.0009 0.01176
                    4
                                0.0
## Whsc1l1
                    5
                         0.800
                                 0.0
                                        Down 0.0011 0.01245
## Smarca4
                     5
                        1.000
                                 0.0
                                        Down 0.0012 0.01245
```

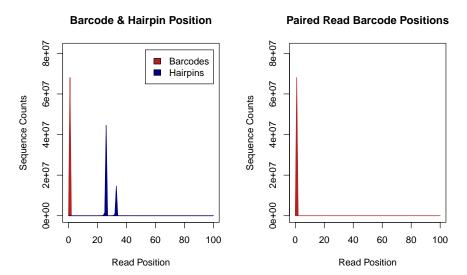
6 Analysis of a large CRISPR-Cas9 knockout screen

Next we analyse data from a pooled screen that uses CRISPR-Cas9 (clustered regularly interspaced short palindromic repeats-associated nuclease Cas9) knockout technology. In this example, a library of around 64,000 sgRNAs (as used in Shalem *et al.* 2014) were screened to look for genes that may lead to resistance from a particular drug.

Multiple single guide RNAs (sgRNAs) per gene (generally between 3-6) were included in the screen. Below we read in the raw sequences from the paired end fastq files <code>screen4_R1.fastq</code> and <code>screen4_R2.fastq</code>. This screen employed a dual indexing strategy where the first 8 bases from each pair of reads contained an index sequence that uniquely identifies which sample a particular sgRNA sequence originated from. Matches between sample indexes and sgRNAs listed in the files <code>Samples4.txt</code> and <code>sgRNAs4.txt</code> were identified using the <code>processAmplicons</code> function to produce a <code>DGEList</code> of counts. This unpublished data set has been anonymised.

```
# Read in sample & sgRNA information
sampleanno = read.table("Samples4.txt", header = TRUE, sep = "\t")
sampleanno[1:5, ]
##
       ID Sequences SequencesReverse group Infection Replicate IndexF IndexR
## 1 A1 1 TAGATCGC
                               TAAGGCGA
                                              Drug
\#\# 2 A2 1 2 TAGATCGC
                                                        1
                                                               1
                                                                    1
                                                                         2
                                CGTACTAG Control
\#\# 3 A3 1 3 TAGATCGC
                               AGGCAGAA
                                                        1
                                                               1
                                                                    1
                                                                         3
                                              Drug
                                                               1
                                                                    1
##4A414 TAGATCGC
                               TCCTGAGC Control
                                                        1
                                                                         4
##5A515TAGATCGC
                               GGACTCCT
                                             Drug
                                                                         5
sgseqs = read.table("sgRNAs4.txt", header = TRUE, sep = "\t")
sgseqs[1:5,]
                  Sequences Gene
## 1 sgRNA1 TACCCTGGGACTGTACCCCC
## 2 sgRNA2 ACCCTTGCTGCACGACCTGC
## 3 sgRNA3 TCGCTCGCCCCGCTCTTCCT
## 4 sgRNA4 TGACGCCTCGGACGTGTCTG 19
## 5 sgRNA5 CGTCATAGCCAATCTTCTTC
# Process raw sequences from fastq files
x4 = processAmplicons("screen4 R1.fastq", readfile2 = "screen4 R2.fastq", barcode-
file = "Samples4.txt",
  hairpinfile = "sgRNAs4.txt", verbose = TRUE, plotPositions = TRUE)
## -- Number of Barcodes: 72
\#\# -- Number of Hairpins: 64751
## Processing reads in screen4 R1.fastq and screen4 R2.fastq.
## -- Processing 10 million reads
## -- Processing 20 million reads
## -- Processing 30 million reads
## -- Processing 40 million reads
## -- Processing 50 million reads
## -- Processing 60 million reads
## -- Processing 70 million reads
## -- Processing 80 million reads
## -- Processing 90 million reads
## -- Processing 100 million reads
```

```
## Number of reads in file screen4_R1.fastq and screen4_R2.fastq: 99427748
##
## The input run parameters are:
## -- Barcode in forward read: length 8
## -- Barcode in reverse read: length 8
## -- Hairpin in forward read: length 20
## -- Mismatch in barcode/hairpin sequences not allowed.
##
## Total number of read is 99427748
## There are 68128813 reads (68.5209 percent) with barcode matches
## There are 62181626 reads (62.5395 percent) with hairpin matches
## There are 46529785 reads (46.7976 percent) with both barcode and hairpin matches
```



The optional plotPositions argument produces a density plot indicating the position sequences were found in each read. For dual indexing reads and paired end reads, two graphs are created side-by-side, to show the sequence locations of both sets of barcodes.

Note that this dual indexing strategy requires an additional column named 'SequencesRev' in the file that contains the sample annotation information. Also, $\operatorname{readFile2}$ must be specified, along with position information ($\operatorname{barcodeStartRev}$, $\operatorname{barcodeEndRev}$) for the second index in the second read from each pair (in this case the index can be found in the first 8 bases).

We next filter out sgRNAs and samples with low numbers of reads.

```
x4
## An object of class "DGEList"
## $counts
                                       3 A 4 1 4 A 5 1 5 A 6 1 6 A 7 2 1 A 8 2 2 A 9 2 3 A 10 2 4 A 11 2 5
##
                 _{1} A2
                            2 A3 1
                         1
\#\# \operatorname{sgRNA1}
                                                                                  24
                    0
                          14
                                  0
                                        0
                                               3
                                                     37
                                                             1
                                                                   55
                                                                           0
## sgRNA2
                    0
                          18
                                  0
                                        0
                                               1
                                                     23
                                                             0
                                                                   26
                                                                           0
                                                                                  29
                                                                                          0
                                                             2
\#\# \operatorname{sgRNA3}
                          54
                                        0
                                               4
                                                     52
                                                                  101
                                                                           0
                                                                                  64
                                                                                           0
                                        0
                                                             2
                                                                           0
                                                                                          0
\#\# \operatorname{sgRNA4}
                    0
                          32
                                  0
                                               3
                                                     56
                                                                   57
                                                                                 55
\#\# \operatorname{sgRNA5}
                           7
                                  0
                                        0
                                                     3
                                                                   3
                                                                                         1
```

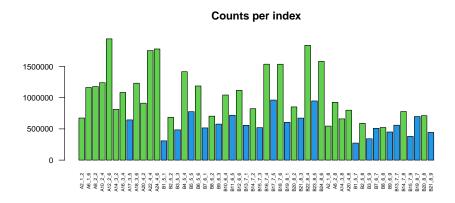
```
A12 2 6 A13 3 1 A14 3 2 A15 3 3 A16 3 4 A17 3 5 A18 3 6 A19 4 1 A20 4 2 A21 4 3
                                                       22
## sgRNA1
                  63
                          0
                                 23
                                         0
                                               33
                                                              37
                                                                      0
                                                                             39
                                                                                     0
                                                                                     0
\#\# \operatorname{sgRNA2}
                  43
                          0
                                 27
                                         0
                                                28
                                                       27
                                                              31
                                                                      1
                                                                             23
                                                               64
                                                                                     0
\#\# \operatorname{sgRNA3}
                  115
                                 62
                                         0
                                                65
                                                       26
                                                                       0
                                                                             44
## sgRNA4
                  58
                          0
                                 48
                                         0
                                               52
                                                       20
                                                              28
                                                                       0
                                                                             44
                                                                                     0
\#\# \operatorname{sgRNA5}
                   5
                          0
                                 3
                                        0
                                               3
                                                      1
                                                              7
                                                                    0
                                                                            1
                                        6\,\mathrm{B1}
                                             5 1 B2 5 2 B3 5 3 B4 5 4 B5 5 5 B6 5 6 B7 6 1 B8 6 2
##
        A22 4 4 A23 4 5 A24 4
                                        3
                                                          29
## sgRNA1
                  40
                          1
                                 66
                                              5
                                                     5
                                                                 11
                                                                        5
                                                                              5
\#\# \operatorname{sgRNA2}
                  46
                          0
                                 35
                                       14
                                              28
                                                     19
                                                           79
                                                                  27
                                                                         44
                                                                                9
                                                                                     25
\#\# \operatorname{sgRNA3}
                  110
                           0
                                 73
                                              42
                                                     30
                                                            56
                                                                  43
                                                                         47
                                                                                32
                                                                                      26
                                        18
                                              13
                                                            25
                                                                  12
                                                                         23
                                                                                10
\#\# \operatorname{sgRNA4}
                  95
                          0
                                110
                                        17
                                                     13
                                                                                      11
\#\# \operatorname{sgRNA5}
                   8
                          0
                                 3
                                       0
                                              5
                                                   13
                                                         15
                                                                 8
                                                                      27
                                                                              6
        B9 \ 6 \ 3 \ B10 \ 6 \ 4 \ B11 \ 6 \ 5 \ B12 \ 6 \ 6 \ B13 \ 7 \ 1 \ B14 \ 7 \ 2 \ B15 \ 7 \ 3 \ B16 \ 7 \ 4 \ B17 \ 7 \ 5 \ B18 \ 7 \ 6
                 14
                          3
                                 9
                                        6
                                               9
                                                     11
                                                             6
                                                                           12
## sgRNA1
                                                                    9
                                                                                  31
## sgRNA2
                  13
                         28
                                16
                                        46
                                               12
                                                       24
                                                              14
                                                                                     70
                                                                      65
                                                                             46
\#\# \operatorname{sgRNA3}
                 15
                         36
                                44
                                        45
                                               39
                                                       53
                                                              16
                                                                      60
                                                                             42
                                                                                     80
## sgRNA4
                         21
                                                               7
                                                                     30
                  11
                                15
                                        20
                                                14
                                                       13
                                                                            16
                                                                                    23
\#\# \operatorname{sgRNA5}
                  7
                         4
                                11
                                       19
                                               1
                                                      5
                                                             0
                                                                    3
                                                                            7
                                                                                  13
        B19 8 1 B20
                        8 2 B21 8 3 B22 8 4 B23 8 5 B24 8 6 A1 1 7 A2 1 8 A3 1 9 A7 2 7 A8 2 8
                                                                    18
\#\# \operatorname{sgRNA1}
                   6
                         13
                                 10
                                        26
                                                14
                                                       19
                                                              0
                                                                           0
                                                                                  0
                                                                                       39
## sgRNA2
                          32
                                 12
                                         97
                                                37
                                                        73
                                                               0
                                                                    12
                                                                            0
                                                                                  0
                   18
                                                                                       19
\#\# \operatorname{sgRNA3}
                   32
                          30
                                 31
                                         65
                                                60
                                                        76
                                                               0
                                                                    30
                                                                            0
                                                                                  4
                                                                                        76
\#\# \operatorname{sgRNA4}
                  15
                          18
                                 20
                                         27
                                                19
                                                       36
                                                               0
                                                                    27
                                                                                        41
                          7
                                 3
                                       18
                                                      12
                                                              0
                                                                    2
                                                                          0
                                                                                       2
\#\# \operatorname{sgRNA5}
                   1
                                               25
                                                                                0
        A9 2 9 A13 3 7 A14 3 8 A15 3 9 A19 4
                                                             7\,\mathrm{A}20 4
                                                                        8\,\mathrm{A}21
                                                                               4 9B1 5 7B2 5 8B3 5 9B7 6 7
\#\# \operatorname{sgRNA1}
                  0
                         0
                               17
                                        0
                                               0
                                                     33
                                                             0
                                                                    6
                                                                          6
                                                                                5
                                                                                     11
\#\# \operatorname{sgRNA2}
                  0
                         0
                                15
                                        0
                                               0
                                                     17
                                                             0
                                                                   10
                                                                         20
                                                                                10
                                                                                      11
                                        0
                                                                   10
                                                                                25
                                                                                      20
\#\# \operatorname{sgRNA3}
                  0
                         0
                                33
                                               1
                                                     39
                                                             0
                                                                         19
\#\# \operatorname{sgRNA4}
                  0
                         0
                                34
                                        0
                                               1
                                                     30
                                                             0
                                                                   10
                                                                          9
                                                                                9
                                                                                       8
                                9
                                              0
                                                             0
                                                                   0
\#\# \operatorname{sgRNA5}
                         0
                                       0
                                                      0
                                                                         9
                                                                               4
                                                                                     4
##
         B8 \ 6 \ 8B9 \ 6 \ 9B13 \ 7 \ 7B14 \ 7 \ 8B15 \ 7 \ 9B19 \ 8 \ 7B20 \ 8 \ 8B21 \ 8 \ 9 \\
                  5
                        17
                                2
                                      11
                                              3
                                                     10
                                                            15
                                                                    9
## sgRNA1
\#\# \operatorname{sgRNA2}
                 23
                         7
                                7
                                      22
                                              17
                                                     20
                                                             22
                                                                    11
\#\# \operatorname{sgRNA3}
                                              25
                                                      29
                 14
                        31
                                32
                                       38
                                                             18
                                                                    17
## sgRNA4
                 11
                         5
                               11
                                       9
                                              8
                                                     13
                                                            14
                                                                    12
                                       2
                                              0
                                                     3
\#\# \operatorname{sgRNA5}
                 11
                         3
                                4
                                                           11
                                                                    8
\#\# 64746 more rows ...
##
\#\# $samples
       ID lib.size norm.factors SequencesReverse group Infection Replicate IndexF IndexR
                                          TAAGGCGA
                                                           Drug
                                                                        1
## 1 A1 1 1
                    223
                                  1
                                                                                1
                                                                                      1
                                                                                            1
## 2 A2 1 2
                  687528
                                  1
                                          CGTACTAG Control
                                                                        1
                                                                                1
                                                                                            2
## 3 A3 1 3
                                          AGGCAGAA
                                                                                            3
                   1485
                                  1
                                                            Drug
                                                                        1
                                                                                1
                                                                                      1
## 4 A4 1 4
                   2550
                                  1
                                          TCCTGAGC Control
                                                                        1
                                                                                1
                                                                                      1
                                                                                            4
                                  1
                                                                        1
                                                                                1
                                                                                            5
## 5 A5 1 5
                   71348
                                          GGACTCCT Drug
\#\# 67 more rows ...
##
## $genes
             ID
                          Sequences Gene
##
## sgRNA1 sgRNA1 TACCCTGGGACTGTACCCCC
## sgRNA2 sgRNA2 ACCCTTGCTGCACGACCTGC 99
```

```
\#\# \operatorname{sgRNA3} \operatorname{sgRNA3} \operatorname{TCGCTCGCCCCGCTCTTCCT} 99
## sgRNA4 sgRNA4 TGACGCCTCGGACGTGTCTG 19
## sgRNA5 sgRNA5 CGTCATAGCCAATCTTCTTC 19
\#\# 64746 more rows ...
table(x4$samples$group)
##
## Control
              Drug
##
        32
              40
# Filter sgRNAs and samples with low counts Need a CPM greater than 5 in 15 or more sam-
\# to keep sgRNAs
selr = rowSums(cpm(x4$counts) > 5) >= 15
\# Need at least 100,000 reads to keep a given sample
selc = colSums(x4$counts) >= 1e+05
x4 = x4[selr, selc]
# Set up drug treatment colours
cols = as.numeric(x4\$samples\$group) + 2
# Plot number of sgRNAs that could be matched per sample and total for each sgRNA across
# all samples
par(mfrow = c(2, 1))
barplot(colSums(x4\$counts), las = 2, main = "Counts per index", col = cols, cex.names = 0.5,
   cex.axis = 0.8
barplot(rowSums(x4\$counts), las = 2, main = "Counts per sgRNA", cex.names = 0.5, cex.axis = 0.8)
```

15000

10000

5000



Counts per sgRNA

Next we make a multidimensional scaling plot to assess the consistency between replicate samples. There is a clear separation between the two infections, indicating the need to incorporate an effect for this in the GLM. A design matrix is set up for the GLM analysis, and the sgRNA-specific variation is estimated and plotted (while taking into account both drug treatment and infection number).

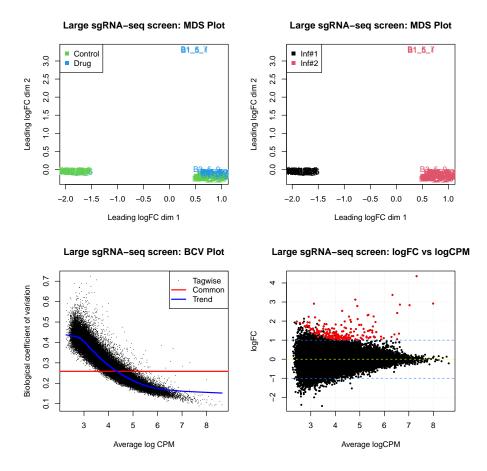
We use the function <code>glmFit</code> to fit the sgRNA-specific models and <code>glmLRT</code> to do the testing between the drug treated and control samples. The top ranked sgRNAs are listed using the <code>topTags</code> function and sgRNAs with FDR < 0.0001 (Benjamini and Hochberg, 1995) and log-fold-change ≥ 1 are highlighted on a plot of log-fold-change versus log-counts-per-millions by the <code>plotSmear</code> function. Since this is a positive screen, we highlight over-represented sgRNAs (i.e. those with positive log-fold-changes) since the model is parameterized to compare drug treatment versus control (coefficient 2 in the design <code>mtrix</code>).

```
# Make an MDS plot to visualise relationships between replicate samples Set up infection # # colours cols2 = x4\$samples\$Infection

par(mfrow = c(2, 2))
plotMDS(x4, col = cols, main = "Large sgRNA-seq screen: MDS Plot")
legend("topleft", legend = c("Control", "Drug"), col = c(3, 4), pch = 15)
plotMDS(x4, col = cols2, main = "Large sgRNA-seq screen: MDS Plot")
```

```
legend("topleft", legend = c("Inf#1", "Inf#2"), col = c(1, 2), pch = 15)
# Begin differential representation analysis We will use GLMs in edgeR in this case since
# there are more than 2 groups Set up design matrix for GLM
treatment = as.factor(x4\$samples\$group)
infection = as.factor(x4$samples$Infection)
des = model.matrix(~treatment + infection)
des[1:5,]
## (Intercept) treatmentDrug infection2
## 1
             1
                        0
                                0
\#\# 2
             1
                        0
## 3
                        0
                                0
             1
                                0
## 4
                        0
             1
\#\#5
             1
                        0
                                0
colnames(des)[2:3] <- c("Drug", "Infection2")
# Estimate dispersions
xglm = estimateDisp(x4, des)
sqrt(xglm$common.disp)
## [1] 0.259
# Plot BCVs versus abundance
plotBCV(xglm, main = "Large sgRNA-seq screen: BCV Plot")
# Fit negative bionomial GLM
fit = glmFit(xglm, des)
# Carry out Likelihood ratio test
lrt = glmLRT(fit, coef = 2)
# Show top ranked sgRNAs
topTags(lrt)
## Coefficient: Drug
                            Sequences Gene logFC logCPM LR PValue
##
                 ID
                sgRNA816 TCCGAACTCCCCCTTCCCGA 269 4.35 7.32 682 2.33e-
\#\# \operatorname{sgRNA816}
150 1.31e-145
\#\# \operatorname{sgRNA4070} \operatorname{sgRNA4070} \operatorname{GTTGTGCTCAGTACTGACTT} 1252 2.92 7.99 662 6.06e-
146 1.71e-141
\#\# \operatorname{sgRNA6351} \operatorname{sgRNA6351} \operatorname{AAAAACGTATCTATTTTAC} 1957 3.37 6.33413 6.62e-
92 1.24e-87
\#\# sgRNA12880 sgRNA12880 CTGCACCGAAGAGAGCTGCT 3979 2.83 7.03 317 7.09e-
71 1.00e-66
\#\# sgRNA23015 sgRNA23015 CAATTTGATCTCTTCTACTG 6714 3.12 4.82 230 5.35e-
52 6.03e-48
\#\# \operatorname{sgRNA62532} \operatorname{sgRNA62532} AAACACGTCCAGTGCAGCCC 19612 2.79 4.90 218 2.51e-
49 2.36e-45
\#\# \text{ sgRNA}3887 \text{ sgRNA}3887 \text{ AACGCTGGACTCGAATGGCC } 1194 \text{ 2.31 } 5.32 \text{ 205 } 1.36 \text{e-}
46 1.09e-42
46 1.85e-42
```

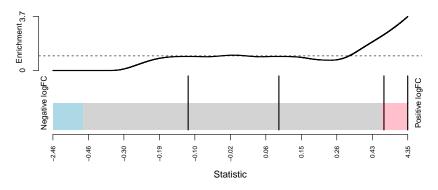
```
 \#\# \operatorname{sgRNA19299} \operatorname{sgRNA19299} \operatorname{GGGGTCTTACCCGAGGCTCC} 5732 \ 1.95 \ 5.64 \ 203 \ 4.46e-46 \ 2.79e-42   \#\# \operatorname{sgRNA52924} \operatorname{sgRNA52924} \operatorname{CCACCGCGTTCCACTTCTTG} 16395 \ 2.86 \ 6.64 \ 194 \ 5.47e-44 \ 3.08e-40   \#\operatorname{Select} \operatorname{sgRNAs} \operatorname{with} \operatorname{FDR} < 0.0001 \ \operatorname{and} \ \operatorname{logFC} <= -1 \ \operatorname{to} \ \operatorname{highlight} \ \operatorname{on} \ \operatorname{plot}   \operatorname{thresh} = 1e-04   \operatorname{lfc} = 1   \operatorname{top4} = \operatorname{topTags}(\operatorname{lrt}, \ n = \operatorname{Inf})   \operatorname{top4ids} = \operatorname{top4\$table}[\operatorname{top4\$table\$FDR} < \operatorname{thresh} \ \& \ \operatorname{top4\$table\$logFC} >= \operatorname{lfc}, \ 1]   \#\operatorname{Plot} \ \operatorname{logFC} \ \operatorname{versus} \ \operatorname{logCPM}   \operatorname{plotSmear}(\operatorname{lrt}, \ \operatorname{de.tags} = \operatorname{top4ids}, \ \operatorname{pch} = 20, \ \operatorname{cex} = 0.6, \ \operatorname{main} = \text{"Large} \ \operatorname{sgRNA-seq} \ \operatorname{screen:} \ \operatorname{logFC} \ \operatorname{vs \ logCPM"})   \operatorname{abline}(h = \operatorname{c(-1}, 0, 1), \ \operatorname{col} = \operatorname{c("dodgerblue", "yellow", "dodgerblue")}, \ \operatorname{lty} = 2)
```



We finish this analysis by summarising data from multiple sgRNAs in order to get a gene-bygene ranking, rather than a sgRNA-specific one. The *camera* gene-set test (Wu and Smyth, 2012) is used for this purpose. As before, the collection of sgRNAs that target a specific gene can be regarded as a 'set'. In the code below, we restrict our analysis to genes with more than 3 sgRNAs. A barcode plot, highlighting the rank of sgRNAs for a given gene relative to the entire data set is generated for the top-ranked gene (11531). Abundance of sgRNAs targeting this gene tends to increase with drug treatment (FDR=0.0003).

```
# Carry out camera gene-set analysis
genesymbols = x4\$genes[, 3]
genesymbollist = list()
unq = unique(genesymbols)
unq = unq[!is.na(unq)]
for (i in unq) {
   sel = genesymbols == i & !is.na(genesymbols)
   if (sum(sel) > 3)
      genesymbollist[[i]] = which(sel)
# Run camera for all genes
camera.res = \frac{camera}{(xglm, index = genesymbollist, des, contrast = 2)}
# Display results for top ranked genes
camera.res[1:10,]
##
         NGenes Direction PValue
                                          FDR
## 19612
               5
                      Up 1.11e-08 6.14e-05
## 8370
                      Up 3.79e-06 1.05e-02
              4
                      Up 1.88e-05 3.14e-02
## 8808
              4
## 11531
               4
                      Up 2.27e-05 3.14e-02
\#\# 3979
                      Up 2.89e-05 3.19e-02
              4
\#\#\ 10386
                      Up 1.30e-04 1.19e-01
               4
                      Up 1.74e-04 1.38e-01
## 10784
               4
\#\#\ 2005
                      Up 2.60e-04 1.76e-01
              4
## 4086
              4
                      Up 2.87e-04 1.76e-01
\#\#\ 11412
               4
                      Up 3.86e-04 2.13e-01
# Make a barcode plot for an example that ranks highly Gene 11531
\operatorname{par}(\operatorname{mfrow} = \operatorname{c}(1, 1))
barcodeplot(lrt$table$logFC, index = genesymbollist[[11531]], main = "Barcodeplot for Gene 11531",
   labels = c("Negative logFC", "Positive logFC"), quantile = c(-0.5, 0.5))
```

Barcodeplot for Gene 11531



Plot Multi-dimensional scaling of data to visualise

7 Analysis of a CRISPR-Cas9 knockout screen from Shalem et al. (2014)

The final analysis is of a recently published CRISPR-Cas9 knockout screen published by Shalem *et al* (2014).

The goal of the screen analysed below was to identify genes whose loss is involved in resistance to vemurafenib (PLX) in a melanoma model. A genome-wide library of sgRNAs (\sim 64,000) targeting \sim 18,000 genes was used in the melanoma cell-line A375. Samples at baseline (Day 0), Day 7 and Day 14 for control (DMSO treated) and vemurafenib (PLX) were available. sgRNAs/genes that consistently increase in representation in the PLX samples compared to the DMSO samples in the biological replicates are of interest.

We thank Ophir Shalem and Feng Zhang for providing access to this data set, which was downloaded from http://genome-engineering.org/gecko/?page_id=114.

We first read in the data downloaded from the URL above in preparation for an sgRNA-level analysis. The data available has been normalized, and was rounded to ensure we are dealing with integer values. A ceiling of 5000 was put on the counts (a small number sgRNAs had values up to $\sim 82,000$). A multidimensional scaling plot was generated to see if the samples cluster by treatment (DMSO/PLX for Day 7/Day 14).

```
## Read in the table of counts
shalem = read.table("norm read count A375", header=TRUE, sep="\t", as.is=TRUE)
counts = matrix(NA, nrow(shalem), 9)
for(i in 1:9)
 counts[,i] = round(shalem[,-(1:3)][,i],0)
\#\# Set max counts to 5000
counts[counts>5000] = 5000
colnames(counts) = colnames(shalem)[-(1:3)]
rownames(counts) = shalem[,2]
dim(counts)
## [1] 64076
## Make DGE list containing sgRNA counts
x5 = new("DGEList")
x5$counts = counts
## Add sample annotation data
x5$samples = data.frame("SampleID"=colnames(x5$counts),
           "group"=as.factor(c("Baseline", rep(c("Day7 DMSO", "Day14 DMSO", "Day7 PLX", "Day14 PLX"), e
                "lib.size" = colSums(x5$counts),
                "norm.factors" = rep(1,9))
x5$genes = shalem[,1:3]
rownames(x5\$genes) = shalem[,2]
# Filter sgRNAs with low counts
sel = rowSums(cpm(x5$counts)>5)>=2
x5 = x5[sel,]
```

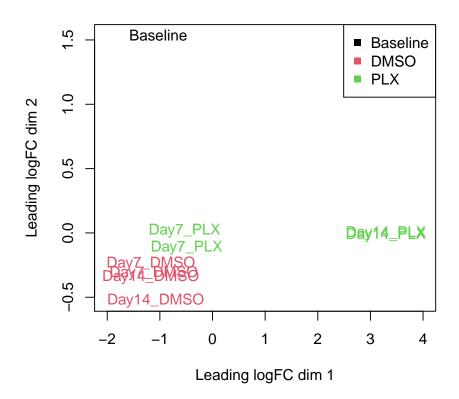
```
## relationships between replicate samples

plotMDS(x5, labels=x5$samples$group, xlim=c(-2,4),

col=c(1,rep(c(2,3),each=4)), main="Shalem: MDS Plot")

legend("topright", legend=c("Baseline", "DMSO", "PLX"), col=1:3, pch=15)
```

Shalem: MDS Plot

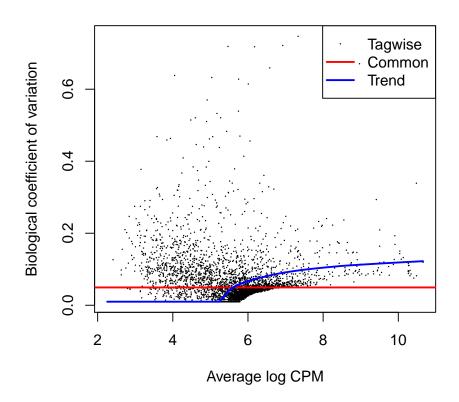


A design matrix is set up for the GLM analysis (McCarthy *et al.* 2012), and the sgRNA-specific variation is estimated and plotted (while taking into account the group structure). The baseline sample is used to estimate the intercept term in the model. We use the functions glmFit to fit the sgRNA-specific models and glmLRT functions to do the testing between the PLX and DMSO samples at Day 7 and Day 14 respectively. Single guide RNAs with false discovery rate (FDR) <0.0001 (Benjamini and Hochberg, 1995) and log-fold-change below -1 are listed using the topTags function and highlighted on a plot of log-fold-change versus log-counts-per-millions by the plotSmear function.

```
## Assess differential representation between Day 14 PLX and Day14 DMSO samples
## and Day 7 PLX and Day 7 DMSO samples using GLM in edgeR
## Set up design matrix for GLM
des = model.matrix(~x5$samples$group)
colnames(des)[2:ncol(des)] = c("Day14_DMSO", "Day14_PLX", "Day7_DMSO", "Day7_PLX")
des
## (Intercept) Day14_DMSO Day14_PLX Day7_DMSO Day7_PLX
```

```
0
                                        0
                                               0
                        0
                                0
                                               0
\#\# \ 3
                                0
                        0
                                               0
                                0
                                               0
\#\#5
                                0
                                        0
                                               0
## 6
                       0
                                0
                                        0
                                               1
## 7
                       0
                                0
                                        0
                                               1
                                1
                                        0
                                               0
\#\# 9
                                        0
                                               0
                                1
\#\# \operatorname{attr}(,"assign")
## [1] 0 1 1 1 1
## attr(,"contrasts")
## attr(,"contrasts")$'x5$samples$group'
## [1] "contr.treatment"
\#\# Estimate variability in the screen amongst replicate samples
xglm = estimateDisp(x5, des)
\#\# Plot BCVs versus abundance
plotBCV(xglm, main="Shalem: BCV Plot")
```

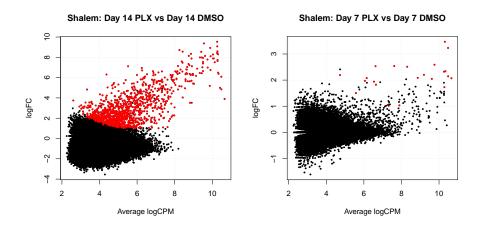
Shalem: BCV Plot



```
## Fit negative bionomial GLM
fit = glmFit(xglm, des)
## Carry out Likelihood ratio test for Day 14 contrast
lrtday14 = glmLRT(fit, des, contrast = c(0,-1,1,0,0))
dt14 = \frac{decideTestsDGE}{lrtday14}
summary(dt14)
##
                          -1*Day14 DMSO 1*Day14 PLX
## Down
                                                                            23873
## NotSig
                                                                           32069
## Up
                                                                           2349
## Carry out Likelihood ratio test for Day 7 contrast
lrtday7 = glmLRT(fit, des, contrast = c(0,0,0,-1,1))
dt7 = decideTestsDGE(lrtday7)
summary(dt7)
                          -1*Day7 DMSO 1*Day7 PLX
## Down
                                                                                 0
                                                                       58229
## NotSig
## Up
                                                                           62
## Show top ranked sgRNAs for Day 14 contrast
topTags(lrtday14, n=15)
## Coefficient: -1*Day14 DMSO 1*Day14 PLX
                        gene name spacer id
                                                                                                    spacer seq logFC logCPM LR PValue
##
##s 800
                                     ACTA2 s 800 GGGACAAAAAGACAGCTACG 9.53 10.26 1502 0.00e+00 0.00e+00
\#\# \text{ s} = 37190 \text{ NLGN1 s} = 37190 \text{ ATCACAGTCAACTATCGACT} = 8.50 + 10.27 + 1591 + 0.00e + 00 + 0.00e + 0
\#\# \text{ s} \ 14313 \ \text{CUL3 s} \ 14313 \ \text{GAATCCTGTTGACTATATCC} \ 8.30 \ 10.31 \ 1754 \ 0.00e+00 \ 0.00e+00
## s 14312
                                           CUL3 s 14312 CTTACCTGGATATAGTCAAC 6.99 9.76 1402 7.14e-
307 1.04e-302
\#\# \text{ s} = 35735 \mod \text{MYO1E s} = 35735 \text{ CAACCTTGTATGAGCCCGAG} = 9.37 = 9.551400 + 2.61e-
306 3.04e-302
                                         SNCG s 52770 GCTCTGTACAACATTCTCCT 8.38 10.27 1381 2.79e-
## s 52770
302 2.71e-298
\#\# \text{ s} 7274 C1 or f27 s 7274 CAAGTTATCCAACTTAGCTT 7.64 10.28 1375 7.06e-
301 5.88e-297
\#\# s 12138 CLDN10 s 12138 ACATGTCCAGGGCGCAGATC 7.99 9.35 1344 2.97e-
294 2.16e-290
\#\# s 36799
                                            NF2 s 36799\,\mathrm{GTACTGCAGTCCAAAGAACC} 6.37 10.34\,1309\,1.27\mathrm{e}
286 8.22e-283
##s 47803
                                           RNH1 s 47803 CGGCGTGCATTGCGTGCTCC 6.65 9.51 1286 1.13e-
281 6.56e-278
\#\# \text{ s} 8730 CACNB2 s 8730 ATCCGATTCCGATGTATCTC 4.99 10.41 1277 1.44e-
279 7.66e-276
\#\# \text{ s} = 33342 \mod 2 \text{ MED12 s} = 33342 + 2 \mod 2 \text{ CTTCAATCCTGCCA} = 6.88 + 9.20 + 1185 + 8.91 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 1185 + 
260 \ 4.33e-256
\#\# \text{ s} 30886 LGALS4 s 30886 GATGGCCTATGTCCCCGCAC 7.47 8.42 1158 7.60e-
254 \ 3.41e-250
\#\# s 33855
                                            MIA s 33855\,\mathrm{GTCTTCACATCGACTTTGCC} 7.99 9.45\,1156\,2.83\mathrm{e}
253 1.18e-249
```

```
252 2.45e-248
## Show top ranked sgRNAs for Day 7 contrast
topTags(lrtday7, n=15)
## Coefficient: -1*Day7 DMSO 1*Day7 PLX
                                 spacer seq logFC logCPM LR PValue
        gene name spacer id
             CUL3 s 14313 GAATCCTGTTGACTATATCC 3.47 10.31 232.6 1.61e-
\#\# s 14313
52 9.38e-48
\#\# s 36796
              NF2 s 36796 AAACATCTCGTACAGTGACA 2.15 10.48 203.6 3.40e-
46 9.92e-42
##s 14312
              CUL3 s 14312 CTTACCTGGATATAGTCAAC 2.59 9.76 146.9 8.20e-
34 1.59e-29
\# \# s 36799
              NF2 s 36799 GTACTGCAGTCCAAAGAACC 2.34 10.34 144.4 2.89e-
33 4.21e-29
              NF2 \ \ s \ \ 36798 \ CCTGGCTTCTTACGCCGTCC \ \ 2.07 \ \ 10.66 \ 119.1 \ \ 9.74 e-
## s 36798
28 1.14e-23
\#\# \text{ s} = 55205 \quad \text{TADA1 s} = 55205 \text{ AGCTCATAGACTTCTCACAC} = 2.54 \quad 7.62 \quad 85.6 \quad 2.18 \text{ e}
20 2.12e-16
## s 14314
              CUL3 s 14314\,\mathrm{GACCTAAAATCATTAACATC} 2.51 8.31 69.8 6.64e-
17 5.53e-13
16 2.79e-12
\#\# \text{ s} 55215 TADA3 s 55215 TCAGTAACTCCTCAAGTGTG 1.82 6.64 63.3 1.76e-
15 \ 1.14e-11
\#\# \text{ s} = 55204 \text{ TADA1 s} = 55204 \text{ ACTGGGCTAACCTAAAGCTG} = 2.53 - 6.62 - 53.2 \cdot 3.07 \text{e-}
13 1.79e-09
\#\# s 8980
            CAND1 s 8980 TCACCTAAAGTCCTTGTCGC 2.08 6.15 52.7 3.95e-
13 2.09e-09
\#\# s 2661
            ANKZF1 s 2661 GGGAACATTATAAGCTTGAC 2.19 4.73 49.71.78e-
12 8.65 e-09
\#\# \text{ s} 55276 \text{ TAF5L s} 55276 \text{ CAGCCCTATTCTGCAGAACG} 1.94 6.54 47.3 6.16e-
12 2.76e-08
## s 64856
              ZP1 s 64856 ACCAGCTCATCTATGAGAAC 2.32 10.27 46.5 8.99e-
12 3.74e-08
## s 17709
             EHMT2 s 17709 TCAGATTCATCCCCAATGAG 2.05 9.62 43.1 5.10e-
11 1.98e-07
## Select sgRNAs with FDR < 0.0001 and logFC < -1 to highlight on plot
thresh = 0.0001
lfc = 1
top14 = topTags(lrtdav14, n=Inf, sort.by="logFC")
top7 = topTags(lrtday7, n=Inf, sort.by="logFC")
sum(top14$table[,8]<thresh)</pre>
## [1] 4536
sum(top14\$table[,8] < thresh & top14\$table[,4] > lfc)
## [1] 1135
```

s 29387 KIF13A s 29387 AGCAGCTGGGCCTTATTCCA 6.17 9.14 1149 6.30e-



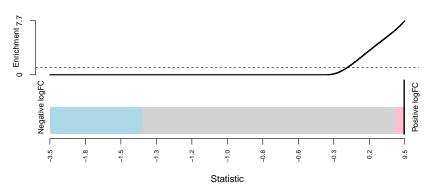
We complete the analysis by summarising the data at the gene-level using the *roast* (Wu *et al.* 2010) gene-set test. The collection of individual sgRNAs that target a specific gene are regarded as a 'set'. Genes with multiple sgRNAs that go down in the Day 14 'PLX versus DMSO' comparison are of primary interest. The genes *NF1*, *MED12*, *NF2*, *CUL3*, *TADA2B*, and *TADA1* are examined first, as they were reported as key genes finding in the original paper, followed by an analysis for all genes.

```
## Carry out roast gene-set analysis
genesymbols = x5$genes[,1]

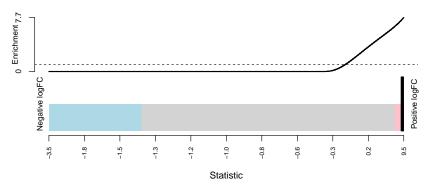
genesymbollist = list()
unq = unique(genesymbols)
unq = unq[!is.na(unq)]
for(i in unq) {
    sel = genesymbols==i & !is.na(genesymbols)
    if(sum(sel)>=3)
        genesymbollist[[i]] = which(sel)
}
```

```
## Begin with sgRNAs targeting NF1, MED12, NF2, CUL3, TADA2B and TADA1
## that were reported as top hits in the paper
topgenes = c("NF1", "MED12", "NF2", "CUL3", "TADA2B", "TADA1")
set.seed(3042014)
for(i in topgenes) {
 ind = genesymbols = = i
 cat("Roast results for Day 14 contrast", i, "\n")
 \operatorname{print}(\operatorname{roast}(\operatorname{xglm}, \operatorname{index}=\operatorname{ind}, \operatorname{des}, \operatorname{contrast}=\operatorname{c}(0, -1, 1, 0, 0), \operatorname{nrot}=9999))
## Roast results for Day 14 contrast NF1
           Active.Prop P. Value
##
\#\# Down
                      0 1e + 00
## Up
                     1 1e-04
                         1 2e-04
## UpOrDown
## Mixed
                      1 	 2e-04
\#\# Roast results for Day 14 contrast MED12
           Active.Prop P. Value
                     0 1e + 00
## Down
## Up
                     1 5e-05
\#\# UpOrDown
                        1 1e-04
## Mixed
                      1 1e-04
\#\# Roast results for Day 14 contrast NF2
            Active.Prop P. Value
##
## Down
                      0 1e + 00
## Up
                     1 5e-05
                        1 1e-04
## UpOrDown
## Mixed
                      1 	 1e-04
## Roast results for Day 14 contrast CUL3
            Active.Prop P. Value
\#\# Down
                   0.167 	 1e+00
## Up
                  0.500 5e-05
## UpOrDown
                      0.500 1e-04
## Mixed
                   0.667 1e-04
## Roast results for Day 14 contrast TADA2B
            Active.Prop P. Value
##
## Down
                      0 1e + 00
## Up
                     1 5e-05
\#\# UpOrDown
                        1 1e-04
\#\# Mixed
                     1 1e-04
## Roast results for Day 14 contrast TADA1
           Active.Prop P.Value
##
## Down
                      0 1e + 00
## Up
                     1 1e-04
## UpOrDown
                         1 2e-04
## Mixed
                      1 \quad 2e-04
\#\# Make a barcode plot for NF1
nf1 = genesymbols=="NF1"
\operatorname{par}(\operatorname{mfrow} = \operatorname{c}(2,1))
```

Barcodeplot for NF1 (Day14 PLX versus Day14 DMSO)



Barcodeplot for NF2 (Day14 PLX versus Day14 DMSO)



```
## Run mroast for all genes for Day 14 contrast
set.seed(3042014)
roast.res.day14 = mroast(xglm, index=genesymbollist,
                  des, contrast = c(0,-1,1,0,0), nrot = 9999)
## Display ranked results for top ranked genes that drop out in the screen
roast.res.day14[roast.res.day14$Direction=="Up",][1:10,1:6]
          NGenes PropDown PropUp Direction PValue
                                                         FDR
\#\# MED12
                 4
                        0
                           1
                                    Up 1e-04 0.000576
## MED15
                        0
                             1
                                    Up 1e-04 0.000576
                 4
## NF2
               4
                      0
                                  Up 1e-04 0.000576
```

```
## CCDC101
                                  Up 1e-04 0.000576
                       0
## KCTD10
                3
                      0
                            1
                                  Up 1e-04 0.000576
## PGD
               3
                     0
                                 Up 1e-04 0.000576
                          1
## SMARCB1
                 3
                        0
                            1
                                   Up 1e-04 0.000576
                3
                      0
## TADA1
                           1
                                  Up 1e-04 0.000576
## TADA2B
                3
                       0
                            1
                                  Up 1e-04 0.000576
               3
                      0
                           1
                                 Up 1e-04 0.000576
## TAF6L
match(topgenes, rownames(roast.res.day14[roast.res.day14$Direction=="Up",]))
## [1] NA 1 3 41 9 8
sum(roast.res.day14$Direction=="Up" & roast.res.day14$FDR<0.001)
## [1] 94
```

8 Further reading

Studies that have made use of our software in their screen analyses include Sheridan *et al.* (2015), Ziller *et al.* (2015) [both shRNA-seq pooled screens] and Toledo *et al.* (2015) [CRISPR-Cas9 knockout screen].

Since publication of our work, a number of other groups have also advocated for the use of RNA-seq style analysis workflows that assume a negative binomial distribution of the underlying count data in CRISPR-Cas9 screen analyses. These include Li *et al.* (2014) in the MAGeCK software and Winter *et al.* (2015) in the caRpools software.

9 References

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10 Software information

A summary of the packages used to complete this case study is given below. The *knitr* package (Xie, 2013) was used to generate this vignette.

```
sessionInfo()
\#\# R version 4.0.2 (2020-06-22)
## Platform: x86 64-w64-mingw32/x64 (64-bit)
## Running under: Windows 10 x64 (build 18362)
## Matrix products: default
\#\#
\#\# locale:
## [1] LC COLLATE=English Australia.1252 LC CTYPE=English Australia.1252
## [3] LC MONETARY=English Australia.1252 LC NUMERIC=C
\#\# [5] LC TIME=English Australia.1252
\#\# attached base packages:
              graphics grDevices utils
                                        datasets methods base
## [1] stats
##
\#\# other attached packages:
## [1] knitr_1.30
##
## loaded via a namespace (and not attached):
\#\# [1] BiocManager 1.30.10 compiler 4.0.2
                                              BiocStyle 2.16.1 magrittr 1.5
\#\# [5] formatR 1.7
                         htmltools 0.5.0
                                            tools 4.0.2
                                                            yaml 2.2.1
## [9] rmarkdown 2.4
                           stringi 1.5.3
                                            highr 0.8
                                                            digest 0.6.25
\#\# [13] stringr 1.4.0
                         xfun 0.18
                                          rlang 0.4.7
                                                           evaluate 0.14
```